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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/933,004

Filing Date August 21, 2001

First Named Inventor David Charles RICKS, et al.

Group Art Unit 2121

Examiner Name To Be Assigned

Total Number of Pages in This Submission 6 Attorney Docket Number SAIC0017



## ENCLOSURES (check all that apply)

 Fee Transmittal Form Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Assignment Papers  
(for an Application) Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) \_\_\_\_\_ After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s)  
(please identify below):

Preliminary Amendment

RECEIVED

SEP 18 2002

Technology Center 2100

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name George T. Marcus Kilpatrick Stockton LLP, 607 14<sup>th</sup> Street, N.W., Suite 900, Washington, DC 20005 (Reg. No. 83,014)

Signature

Date

1/13/02

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: \_\_\_\_\_

Typed or printed name

Signature

Date

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 168)

Complete if Known

Application Number 09/933,004

Filing Date August 21, 2001

SEP 13 2002

First Named Inventor David Charles RICKS, et al.

Examiner Name To Be Assigned

Group / Art Unit 2121

Attorney Docket No. SAIC0017

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money  Other  None Order
 Deposit Account:

Deposit Account Number

501458

Deposit Account Name

Kilpatrick Stockton LLP

## The Commissioner is authorized to: (check all that apply)

- 
- Charge fee(s) indicated below
- 
- Credit any overpayments
- 
- Charge any additional fee(s) during the pendency of this application
- 
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
SUBTOTAL (1)		(\$ 0)	

## 2. EXTRA CLAIM FEES

Total Claims	13	-20 **	=	0	X	0	=	0
Independent Claims	11	-9 **	=	2	X	84	=	168
Multiple Dependent					X		=	0

## Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 168)		

\*\* or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

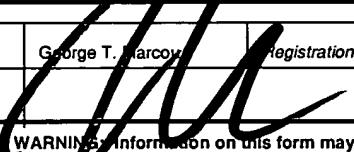
Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
149	740	249	370
179	740	279	370
169	900	169	900
Other fee (specify) _____		SUBTOTAL (3) (\$ 0)	

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	George T. Marcon	Registration No. Attorney/Agent	33,014	Telephone	(202) 508-5800
Signature			Date	9/13/02	

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3/A  
RWB

PATENT

9-25-02

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

In re the Application of: David Charles RICKS, et al.

Serial No.: 09/933,004

Art Unit: 2121

Filed: August 21, 2001

Examiner: To be assigned

For: SYSTEM AND METHOD FOR ADAPTIVE FILTERING

Assistant Commissioner for Patents

Washington, D.C. 20231

**RECEIVED**

**SEP 18 2002**

Technology Center 2100

Dear Sir:

Before examination please amend the subject application follows.

**IN THE CLAIMS**

Please add the following claims:

09/933,004 00000049 09933004

01 FEB 10 02

168.00 OP